

DOCKET NO.: UPN-4105

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PATENT

US PATENT & TRADEMARK  
OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

David B. Weiner and Joo-Sung Yang

Serial No.: 09/971,980

Group Art Unit: Not yet assigned


Filing Date: October 4, 2001

Examiner: Not yet assigned

For: COMPOSITIONS AND METHODS OF USING CAPSID PROTEIN FROM  
FLAVIVIRUSES AND PESTIVIRUSES

DATE OF DEPOSIT: Nov. 27, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231.

  
TYPED NAME: Robin S. Quartin  
REGISTRATION NO.: 45,028

Assistant Commissioner for Patents  
Washington DC 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(37 CFR 1.28(a))

I. SMALL ENTITY STATUS

- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27
- ☒ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor

- ☒ a Small Business Concern (as it pertains to Viral Genomix, Inc.)
- ☒ a Nonprofit Organization (as it pertains to the University of Pennsylvania)

**II. REFUND REQUEST**

This request for refund is made within three months of the date a fee was paid in this application on **October 4, 2001**, in the amount of **\$1,844.00**.

**III. FEES PAID FOR WHICH REFUND REQUESTED**

<b>FEE PAID:</b>	<b>REFUND REQUESTED:</b>
Filing Fee	\$922.00
Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l))	\$0
Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$0
Extension of Term	\$0
Issue Fee	\$0
Patent Maintenance <input type="checkbox"/> First Maintenance Fee <input type="checkbox"/> Second Maintenance Fee <input type="checkbox"/> Third Maintenance Fee	\$0
Patent Maintenance Fee Surcharge	\$0
Other:	\$0
<b>TOTAL REFUND REQUESTED:</b>	<b>\$922.00</b>

**IV. MANNER OF REFUND**

Please make refund by

- ☒ crediting Deposit Account No. 23-3050.

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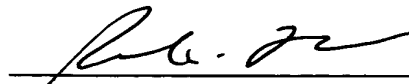
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PATENT

☐ refunding overpayment.

Date:

*November 27, 2001*



Robin S. Quartin

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